IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:	McAuley et al.)					
For:	MASK)					
Serial No.:	10/570,226)					
Filed:	February 28, 2006)					
Art Unit:	3743)					
Conf. No.:	7568)					
Atty Docket No.: 1171/44578/166-PCT-US							

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Filing Receipt received in the above-identified application.

This Filing Receipt is in error because it incorrectly lists inventor Aidan Mark Shotbolt's residence as London, United Kingdom when it should be Dunedin, New Zealand. Attached is an Application Data Sheet and the executed Declaration and Power of Attorney indicating same.

Applicant encloses a copy of the Filing Receipt with the change marked thereon. Please make these changes and forward a Corrected Filing Receipt to us.

It is believed that no fee is required. If such a fee is required, however, the Commissioner is authorized to charge Deposit account No. 20-1495 for this fee and any amount necessary to

cover any additional costs associated with this Request or to credit any overpayment.

Respectfully submitted,

Date: Aug. 7, 2007

Raiford A. Blackstone, Reg. No. 25,156

Linda L. Palomar, Reg. No. 37,903

Attorney for Applicant

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLMS	IND CLMS
10/570 226	11/01/2006	3743	1230	1171/44578/166-PCT-US	14	4

CONFIRMATION NO. 7568

OC000000023774861

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TREXLER, BUSHNELL, GIANGIORGI,
BLACKSTONE & MARR, LTD.
105 WEST ADAMS STREET
SUITE 3600
CHICAGO, IL 60603



TREXLER, BUSHNELL, GIANGIORGI, BLAGKSTOME & MARR: LTD:

Date Mailed: 05/09/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alastair Edwin McAuley, Auckland, NEW ZEALAND;
Christopher Earl Nightingale, London, UNITED KINGDOM;
Aidan Mark Shotbolt, London, UNITED KINGDOM;
Ivan Milivojevic, London, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number <u>00279</u>.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NZ04/00194 08/20/2004

Foreign Applications

NEW ZEALAND 528029 09/03/2003

If Required, Foreign Filing License Granted: 12/11/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/570,226

Projected Publication Date: Not Applicable

Non-Publication Request: No

FRE#: FD/envired

Early Publication Request: No

Title

Mask

Preliminary Class

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PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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F 1 (130) 14 (02-01)

SHOTBOLT

Active US Military Service

Non US Residency

ΝZ

ation unless it contains a valid OMB control number.

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Applicant 1 Applicant Authority Inventor									
Title of Invention A MASK The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a formet specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 5.2 District or an included in a paper filed application. Secrecy Order 37 CFR 1.41(b) in NZ District or an included in a paper filed application. Secrecy Order 37 CFR 1.41(b) in NZ District or an included in a paper filed application. Secrecy Order 37 CFR 1.41(b) in NZ District or an included in a paper filed application. Secrecy Order 37 CFR 1.41(b) in NZ District or an included in a paper filed application. Secrecy Order 37 CFR 1.41(b) in	Application Data S	boot 27 CEE	176	Attorney Do	cket Nu	ımber	1171/	44578/166-PCT-US	
The application data shoot is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76 This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Applicant Authority ②Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 114 Applicant Authority ③Inventor Middle Name Family Name Suf McAULEY Residence Information (Select One) US Residence i NZ Citize ship under 37 CFR 1.41(b) i NZ Mailing Address of Applicant: Address 1 SBA NGAPUHI ROAD Address 2 REMUERA City AUCKLAND Country OResidence NZ Applicant Authority ③Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 117 Prefix Given Name Middle Name Family Name Suf Name CHRISTOPHER EARL NIGHTINGALE Residence Information (Select One) US Residence ONE US Residence	Application Data S	oneel 37 GFF	1.76	Application	Numbe	r			
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Suggested Figure for Publication (if any)

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Application Data Sheet 37 CFR 1.76		Att	Attorney Docket Number 1171/44578/		8/166-PCT-US			
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Title of Invention	A MASK		

Title of Invention	A MASK						
Assignee Info							
Providing this inform of the CFR to have a	ation in the application in assignment recorded	data sheet does not subside in the Office.	stitute for co	ompliance with any red	quirement of part 3 of Title 37		
Assignee 1							
If the Assignee is a	an Organization chec	ck here.					
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Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	/RAIFORD A. BLACKS	STONE/	Date (YYYY-MM-DD)	2007-04-04			
First Name	RAIFORD	Last Name	BLACKSTONE	Registration Number	25156		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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DECLARATION D WER OF ATTORNEY FOR PATENT

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention A MASK entitled: the specification of which (check one) [] is attached hereto. as Application Serial No._PCT/NZ2004/000194 [x] was filed on 20 August 2004 and amended on February 28, 2006. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed Prior Foreign Application(s) 3 September 2003 [X] New Zealand 528029 (Country) (Day/Month/Year Filed) Nη (Number) [] (Day/Month/Year Filed) No Yes (Country) (Number) Prior Provisional Application(s) I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below. (Filing Date) (Application Number) (Filing Date) (Application Number) I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below; insofar

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below; insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor. Thereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Parand Trademark Office connected the vither Richard A. Giangiorgi, Reg. 24,284; Raiford A. Blackstone, Jr., Reg. 156, David J. Marr, Reg. 32,915; Linda L. France, Reg. 37,903; James R. Foley, Reg. 39,979; James A. O'Malley, Reg. 45,952; Timothy M. McCarthy, Reg. 42,855; and Paige A. Kitzinger, Reg. 45,219.
SEND CORRESPONDENCE TO: TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD. 105 W. ADAMS STREET, CHICAGO, IL 60603
DIRECT TELEPHONE CALLS TO: (312) 704-1890 Raiford A. Blackstone, Esq.
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
Full name of sole or first inventor ALASTAIR EDWIN McAULEY Inventor's signature Date 10 Military 2006
Inventor's signature Date /C ///Ci / 2006
Residence 58A Ngapuhi Road, Remuera, Auckland, New Zealand Citizenship New Zealand
Post Office Address Same
(Supply similar information and signature for second and subsequent joint inventors.)
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of second inventorCHRISTOPHER EARL NIGHTINGALE
Inventor's signature Date
Residence68b Tremadoc Road Clapham, London SW4 7LP, United Kingdom Citizenship New Zealand
Post Office Address Same
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
Full name of third inventor AIDAN MARK-SHOTBOLT Inventor's signature
(Attack of the second of the
Residence 168 Gladstone Rd, Dalmore, Dunedin, New Zealand
Citizenship New Zealand Post Office Address Same
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of fourth inventor IVAN MILIVOJEVIC
Inventor's signature Date
Residence 28 Gloucester Court, Golders Green Road, Golders Green, London N11 9AE, United Kingdom Citizenship New Zealand Post Office Address Same

POWER OF ATTORNEY: As a named application and transact all business in 24,284; Raiford A. Blackstone, Jr., Reg. Reg. 39,979; James A. O'Malley, Reg.	th and Traden	nark Office connected ther Reg. 32.915: Linda L. P.	nai, Reg. 37,903; James R. Foley,
SEND CORRESPONDENCE TO:	TREXLER, BUSHNE 105 W. ADAMS STR	ELL, GIANGIORGI, BLACK REET, CHICAGO, IL 60603	STONE & MARR, LTD.
DIRECT TELEPHONE CALLS TO:	(312) 704-1890	Raiford A. Blackstone	e, Esq.
I hereby declare that all statements ma and belief are believed to be true; and f statements and the like so made are pu States Code and that such willful false	further that these state unishable by fine or im statements may jeopa	ments were made with the k prisonment, or both, under S rdize the validity of the appli	Section 1001 of Title 18 of the United
Full name of sole or first inventor <u>AL</u> Inventor's signature	ASTAIR EDWIN McA	ULEY	Date
•			
Residence <u>58A Ngapuhi Ro</u> Citizenship <u>New Zealand</u>	<u>oad, Remuera, Aucklar</u>	nd, New Zealand	
Deat Office Address Same			
(Supply similar information and signatu	ure for second and sub	sequent joint inventors.)	
I hereby declare that all statements may and belief are believed to be true; and statements and the like so made are p States Code and that such willful false Full name of second inventor CHR Inventor's signature Residence 68b Tremadoc Road C	further that these state nunishable by fine or im statements may jeopa ISTOPHER EARL NK	ements were made with the applant prisonment, or both, under ardize the validity of the applant price.	Section 1001 of Title 18 of the United
Citizenship New Zealand			
Post Office Address Same			***************************************
I hereby declare that all statements m and belief are believed to be true; and statements and the like so made are p States Code and that such willful false	I further that these state ounishable by fine or in e statements may jeop	ements were made with the nortsonment, or both, under	Section 1001 of Title 18 of the United
Full name of third inventor AIDAN IV	MARK SHOTBOLT	477	Date
Inventor's signature			
Citizenchin New Zealand			
Post Office Address Same			
States Code and that such willful false	I further that these state ounishable by fine or in e statements may jeop	rements were made with the nerisonment, or both, under ardize the validity of the app	Section 1001 of Title 18 of the United colication or any patent issued thereon.
Full name of fourth inventor IVAN N	IILIVOJEVIC		Date9/6/2006.
Inventor's signature			,
	<u> Solders Green Road, C</u>	Solders Green, London N11	9AE, United Kingdom
Citizenship New Zealand			
Post Office Address Same			